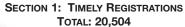
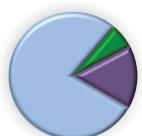


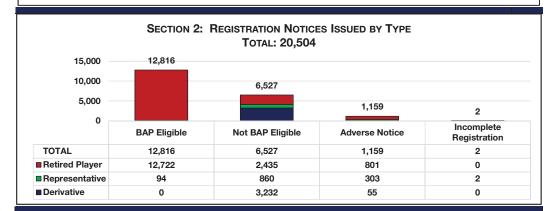
### CONCUSSION SETTLEMENT IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION

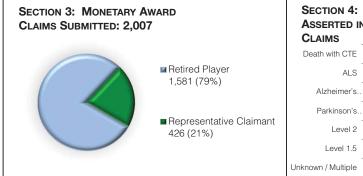
#### NFL SETTLEMENT PROGRAM SUMMARY REPORT (AS OF 9/17/18)

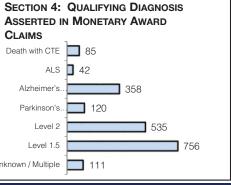




- Retired Player 15,958 (78%)
- Representative Claimant 1,259 (6%)
- **Derivative Claimant** 3,287 (16%)





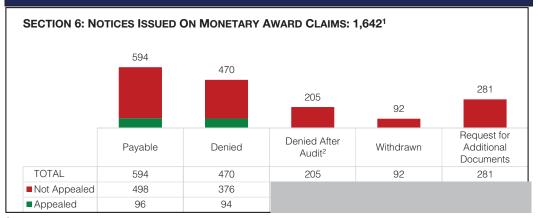


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#### CONCUSSION SETTLEMENT

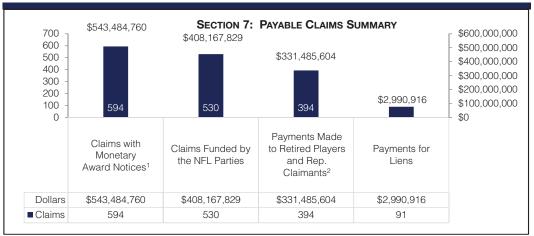
IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION
No. 2:12-md-02323 (E.D. Pa.)





<sup>&</sup>lt;sup>1</sup> Section 6 counts all Monetary Award claims that have received a notice after claim processing. Claims receiving multiple notices are counted only once based on the most recent notice issued. The number of appealed notices reflects only claims that have been appealed on the most recent notice issued. For a cumulative total of all appeals, see Section 9.

<sup>&</sup>lt;sup>2</sup> The results of the audit closed these claims and directed further alternatives for these Settlement Class Members.



<sup>&</sup>lt;sup>1</sup> The dollars and number of claims shown are the number of notices issued before holdbacks for potential Derivative Claimants, common benefit fees, liens and any determinations on appeals.

<sup>&</sup>lt;sup>2</sup>The dollars reflected here include payments to third-party funders that have accepted rescission of prohibited assignments they had with Settlement Class Members.



### CONCUSSION SETTLEMENT IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION

No. 2:12-md-02323 (E.D. Pa.)

Si	SECTION 8 STATUS OF MONETARY AWARD CLAIMS (BASED ON LAST NOTICE OR ACTION ON CLAIM)									
		СТЕ	ALS	Alzheimer's Disease	Parkinson's Disease	Level 2	Level 1.5	Unknown Qualifying Diagnosis	Total	%
1.	In Review Process at Claims Administrator	1	0	3	0	0	6	1	11	<1%
2.	Notice Will Issue Tomorrow	1	0	14	2	12	20	0	49	2%
3.	Needs Special Master Statute of Limitations Review	3	7	11	5	2	4	0	32	2%
4.	Last Notice was for Incomplete Claim Package <sup>1</sup>	1	1	18	2	14	37	18	91	5%
5.	Ready for Review by AAP	2	1	35	9	19	25	0	91	5%
6.	Last Notice was Award Notice <sup>2</sup>	1	1	14	8	10	12	0	46	2%
7.	Last Notice was Denial Notice <sup>3</sup>	1	1	7	1	23	44	10	87	4%
8.	On Appeal Now <sup>4</sup>	0	1	3	0	14	13	0	31	2%
9.	In Audit Investigation <sup>5</sup>	0	0	7	0	81	83	0	171	9%
10.	In Audit-Failure to Provide Information	0	0	0	1	45	87	0	133	7%
11.	Adverse Audit Report with the Parties for Review	0	0	0	0	0	0	0	0	0%
12.	Adverse Audit Report Awaiting Response from Those Audited	0	0	0	0	3	9	0	12	<1%
13.	Adverse Audit Report with the Special Masters for Review	0	0	38	0	80	103	1	222	11%
14.	Denied After Audit <sup>6</sup>	0	0	0	0	74	69	0	143	7%
15.	Final Denial	7	0	36	8	59	135	77	322	16%
16.	Withdrawn	0	0	10	1	50	27	4	92	5%
17.	Ready for Next Month's Payment List	1	0	7	2	14	16	0	40	2%
18.	On this Month's Payment List	1	0	17	7	3	12	0	40	2%
19.	Paid <sup>7</sup>	66	30	138	74	32	54	0	394	20%
20.	Total Claim Packages Submitted	85	42	358	120	535	756	111	2,007	100%

<sup>1.</sup> The Program has issued notices to 1,359 incomplete claim packages, which is 74% of all Level 1.5 and Level 2 claims and 57% of all other

<sup>2.</sup> For details on all claims receiving an Award Notice and the dollar values assigned, see Sections 7 and 11.

<sup>3.</sup> For details on all denied claims, including the denial reasons, see Section 10.

<sup>4.</sup> For details on all appeals filed by Settlement Class Members and the NFL parties, see Section 9.

<sup>5.</sup> The Program has audited 1,150, or 57%, of all claims, including 469 claims removed from audit and put back into the claims review process, 304 claims currently in Audit Investigation, 234 claims on Adverse Audit Reports with the Parties or Special Masters for Review or awaiting a response from those audited and 143 claims denied after audit.

<sup>6.</sup> The results of the audit denied these claims and directed further alternatives for these Settlement Class Members.

<sup>7.</sup> For total dollars paid to or on behalf of these Settlement Class Members, see Part 5 of Section 11.



## CONCUSSION SETTLEMENT IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION No. 2:12-md-02323 (E.D. Pa.)

SECT	SECTION 9 STATUS OF ALL APPEALS ON MONETARY AWARD CLAIMS					
	Status <sup>1</sup>	Appealed by Class Member				
A.	Payable Claims	16	94	110		
1.	Appeal Filed and in Preliminary Steps	0	5	5		
2.	Appellee's Opposition Memo Not Received	4	10	14		
3.	Appellee's Opposition Memo Received	1	17	18		
4.	Remanded to Claims Administrator	2	1	3		
5.	With Special Masters for Decision	1	4	5		
6.	Result Upheld on Appeal	6	43	49		
7.	Result Overturned on Appeal	1	2	3		
8.	Closed or Withdrawn <sup>2</sup>	1	12	13		
B.	Denied Claims	132	0	132		
1.	Appeal Filed and in Preliminary Steps	4	0	4		
2.	Appellee's Opposition Memo Not Received	29	0	29		
3.	Appellee's Opposition Memo Received	13	0	13		
4.	Remanded to Claims Administrator	50	0	50		
5.	With Special Masters for Decision	10	0	10		
6.	Result Upheld on Appeal	21	0	21		
7.	Result Overturned on Appeal	0	0	0		
8.	Closed or Withdrawn <sup>2</sup>	5	0	5		
C.	TOTAL APPEALS	148	94	242		

<sup>&</sup>lt;sup>1</sup> Co-Lead Class Counsel has filed statements in 22 of the Appeals across multiple statuses in the Appeals Process.

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<sup>2</sup> These are appeals we are no longer processing because (1) the appealing party withdrew or (2) it was closed after being in audit.



### CONCUSSION SETTLEMENT IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION No. 2:12-md-02323 (E.D. Pa.)

SECT	ION 10 REASONS IN NOTICES OF DENIAL ISSUED ON MONETARY AW	
	Reason Claim Denied	Notices
1.	Death with CTE Claims	8
	(a) Death Occurred After Final Approval	4
	(b) Death Occurred Before 7/7/14 but Qualifying Diagnosis Was After Final Approval (c) Death Between 7/7/14 & 4/22/15; Qualifying Diagnosis was greater than 270 Days From	1
	Death Detween 777 14 & 4/22/15, Qualifying Diagnosis was greater than 210 Days 110111	1
	(e) Appeals Advisory Panel Denial - Diagnosis Made by Inappropriate Physician	0
	(f) Appeals Advisory Panel Denial - Not Death with CTE	0
	(g) Complete Claim Package Never Provided by Class Member	2
2.	ALS Claims	1
	Complete Claim Package Never Provided by Class Member	1
3.	Alzheimer's Disease Claims	47
	(a) Appeals Advisory Panel Denial - Diagnosis Made by Inappropriate Physician	8
	(b) Appeals Advisory Panel Denial - Not Alzheimer's Disease	37
	(c) Physician Not Qualified to Sign Diagnosis Physician Certification Form	1
	(d) Complete Claim Package Never Provided by Class Member	7
4.	Parkinson's Disease Claims	8
	(a) Appeals Advisory Panel Denial - Diagnosis Made by Inappropriate Physician	3
	(b) Appeals Advisory Panel Denial - Not Parkinson's Disease	6
	(c) Complete Claim Package Never Provided by Class Member	0
5.	Level 2 Claims	108
	(a) Appeals Advisory Panel Denial - Diagnosis Made by Inappropriate Physician	9
	(b) Appeals Advisory Panel Denial - Not Level 2	79
	(c) Physician Not Qualified to Sign Diagnosis Physician Certification Form	17
	(d) Complete Claim Package Never Provided by Class Member	10
6.	Level 1.5 Claims	195
	(a) Appeals Advisory Panel Denial - Diagnsosis Made by Inappropriate Physician	15
	(b) Appeals Advisory Panel Denial - Not Level 1.5	140
	(c) Physician Not Qualified to Sign Diagnosis Physician Certification Form	12
	(d) Complete Claim Package Never Provided by Class Member	41
7.	Unconfirmed Qualifying Diagnosis - Complete Claim Package Not Provided	81
8.	TOTAL DENIAL REASONS PROVIDED ON NOTICES	454
9.	TOTAL NUMBER OF SETTLEMENT CLASS MEMBERS RECEIVING A DENIAL NOTICE	448



# CONCUSSION SETTLEMENT IN RE: NATIONAL FOOTBALL LEAGUE PLAYERS' CONCUSSION INJURY LITIGATION No. 2:12-md-02323 (E.D. Pa.)

SE	SECTION 11 MONETARY AWARD PAYMENTS				
	Status by Confirmed Qualifying Diagnosis	Number	Amount		
1.	Notices of Monetary Award (Dollars Shown are Before Holdbacks)	594	\$543,484,760		
	(a) Death with CTE	69	\$84,753,578		
	(b) ALS	32	\$86,811,938		
	(c) Alzheimer's Disease	182	\$90,859,258		
	(d) Parkinson's Disease	91	\$64,363,700		
	(e) Level 2.0 Neurocognitive Impairment	93	\$134,404,217		
	(f) Level 1.5 Neurocognitive Impairment	127	\$82,292,069		
	Not Ready to be Included on the Next Monthly Payment List; or a Claim Hold is in Place (Dollars Shown are Before Holdbacks)	145	\$151,575,751		
	(a) Death with CTE	1	\$3,663,796		
	(b) ALS	2	\$7,538,032		
	(c) Alzheimer's Disease	21	\$18,245,143		
	(d) Parkinson's Disease	8	\$6,198,678		
	(e) Level 2.0 Neurocognitive Impairment	55	\$80,657,034		
	(f) Level 1.5 Neurocognitive Impairment	58	\$35,273,067		
	Ready to be Included on the Next Monthly Payment List (Dollars Shown are Before Holdbacks)	15	\$6,425,460		
	(a) Death with CTE	1	\$202,507		
	(b) ALS	0	\$0		
	(c) Alzheimer's Disease	6	\$1,677,776		
	(d) Parkinson's Disease	2	\$982,934		
	(e) Level 2.0 Neurocognitive Impairment	3	\$1,490,222		
	(f) Level 1.5 Neurocognitive Impairment	3	\$2,072,021		
4.	Claims in the Payment Process (Dollars Shown are After Holdbacks)	40	\$51,007,030		
	(a) Death with CTE	1	\$17,250		
	(b) ALS	0	\$0		
	(c) Alzheimer's Disease	17	\$4,480,970		
	(d) Parkinson's Disease	7	\$8,130,453		
	(e) Level 2.0 Neurocognitive Impairment	3	\$23,545,916		
	(f) Level 1.5 Neurocognitive Impairment	12	\$14,832,441		
5	Paid Claims/Paid to or on Behalf of Class Members or to Lien Holders	467	\$335,011,539		
	(a) Death with CTE	66	\$80,870,025		
	(b) ALS	30	\$79,273,906		
	(c) Alzheimer's Disease	138	\$66,455,369		
	(d) Parkinson's Disease	74	\$49,051,635		
	(e) Level 2.0 Neurocognitive Impairment	32	\$28,711,045		
	(f) Level 1.5 Neurocognitive Impairment	54	\$30,114,540		
	(g) Derivative Claimants	73	\$535,019		